

Clawback Rates – Updated 9/6/2017

Date	Generic Rate %	Target Proprietary Rate %
October 2013	0.9	6.25
December 2013	4.2	5.56
March 2014	3.1	5.18
June 2014	0.0	5.48
September 2014	0.0	5.69
October 2014	1.3	5.69
January 2015	1.3	5.66
March 2015	3	5.14
June 2015	3	5.19
September 2015	3	6.97
October 2015	3.5	6.97
April 2016	3	6.18
October 2016	3	7.69
June 2017	0	6.93